

# ON THE BIRCH AND SWINNERTON-DYER CONJECTURE FOR CM ELLIPTIC CURVES OVER $\mathbb{Q}$

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*To John Coates for his 70th birthday*

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## 1. INTRODUCTION AND MAIN THEOREMS

For an elliptic curve  $E$  over a number field  $F$ , we write  $L(s, E/F)$  for its complex  $L$ -function,  $E(F)$  for the Mordell-Weil group of  $E$  over  $F$ , and  $\text{III}(E/F)$  for its Tate-Shafarevich group. For any prime  $p$ , let  $\text{III}(E/F)(p)$  or  $\text{III}(E/\mathbb{Q})[p^\infty]$  denote the  $p$ -primary part of  $\text{III}(E/F)$ . When  $F = \mathbb{Q}$ , we shall simply write  $L(s, E) = L(s, E/\mathbb{Q})$ .

**Theorem 1.1.** *Let  $E$  be an elliptic curve over  $\mathbb{Q}$  with complex multiplication. Let  $p$  be any potentially good ordinary odd prime for  $E$ .*

- (i) *Assume that  $L(s, E)$  has a simple zero at  $s = 1$ . Then  $E(\mathbb{Q})$  has rank one and  $\text{III}(E/\mathbb{Q})$  is finite. Moreover the order of  $\text{III}(E/\mathbb{Q})(p)$  is as predicted by the conjecture of Birch and Swinnerton-Dyer conjecture.*
- (ii) *If  $E(\mathbb{Q})$  has rank one and  $\text{III}(E/\mathbb{Q})(p)$  is finite, then  $L(E, s)$  has a simple zero at  $s = 1$ .*

*Remark.* The first part of (i) is the result of Gross-Zagier and Kolyvagin. The remaining part is due to Perrin-Riou for good ordinary primes. In this paper, we deal with odd bad primes which are potentially good ordinary. The result can be easily generalized to abelian varieties over  $\mathbb{Q}$  corresponding to a CM modular form with trivial central character.

The following theorem shows that there are infinitely many elliptic curves over  $\mathbb{Q}$  of rank one for which the full BSD conjecture hold.

**Theorem 1.2.** *Let  $n \equiv 5 \pmod{8}$  be a squarefree positive integer, all of whose prime factors are congruent to 1 modulo 4. Assume that  $\mathbb{Q}(\sqrt{-n})$  has no ideal class of order 4. Then the full BSD conjecture holds for the elliptic curve  $y^2 = x^3 - n^2x$  over  $\mathbb{Q}$ . In particular, for any prime  $p \equiv 5 \pmod{8}$ , the full BSD holds for  $y^2 = x^3 - p^2x$ .*

*Sketch of Proof.* Consider the Heegner point  $P$  constructed using the Gross-Prasad test vector as the below Theorem 1.3. Using an induction argument as in [16] or [17], one can show that  $P$  is non-torsion. Thus both the analytic rank and Mordell-Weil rank of  $E^{(n)} : y^2 = x^3 - n^2x$  are one.

By Perrin-Riou [12] and Kobayashi [8], we know that the  $p$ -part of full BSD holds for all primes  $p \nmid 2n$ . The 2-part of BSD for  $E^{(n)}$  is exactly the statement on 2-divisibility in Theorem 1.3 below by using explicit Gross-Zagier formula in [2] and noting that  $\dim_{\mathbb{F}_2} \text{Sel}_2(E^{(n)}/\mathbb{Q})/\text{Im}(E^{(n)}(\mathbb{Q})_{\text{tor}}) = 1$ . By Theorem 1.1, the  $p$ -part of BSD also holds for all primes  $p|n$ , since all primes  $p$  with  $p \equiv 1 \pmod{4}$  are potentially good ordinary primes for  $E^{(n)}$ .  $\square$

To solve the Diophantine equation  $y^2 = x^3 - n^2x$  over  $\mathbb{Q}$ , we define the complex uniformization of  $E^{(n)}$  by the following composition.

$$\mathcal{H} \xrightarrow{\pi} \Gamma_0(32) \backslash \mathcal{H} \cup P^1(\mathbb{Q}) = X_0(32) \xrightarrow{f_0} E^{(1)} \xrightarrow{[2-2i]} E^{(1)} \xrightarrow{\iota} E^{(n)},$$

where

- $\mathcal{H} \xrightarrow{\pi} \Gamma_0(32) \backslash (\mathcal{H} \cup P^1(\mathbb{Q})) = X_0(32)(\mathbb{C})$  is the natural quotient,
- $f_0 : X_0(32) \rightarrow E^{(1)}$  is a degree 2 morphism over  $\mathbb{Q}$  mapping  $[\infty]$  to  $O$ ,
- $[2 - 2i]$  is the multiplication by  $2 - 2i$  on  $E^{(1)}$ , where  $i(x, y) = (-x, iy)$ ,
- $\iota : E^{(1)} \xrightarrow{\sim} E^{(n)}$  is the twist isomorphism given by  $(x, y) \mapsto (-nx, (-n)^{3/2}y)$ .

The following theorem, which is equivalent to the 2-part BSD for  $E^{(n)}$  using explicit Gross-Zagier formula in [2], and can be proved exactly as in [16].

**Theorem 1.3.** *Let  $n \equiv 5 \pmod{8}$  be a square-free positive integer as in Theorem 1.2. Then the image  $P_0 \in E^{(n)}$  of  $(4 - 4\sqrt{-n})^{-1} \in \mathcal{H}$  under the above complex uniformization is defined over the Hilbert class field  $H$  of  $\mathbb{Q}(\sqrt{-n})$ . Moreover the Heegner point  $P := \sum_{\sigma \in \text{Gal}(H/\mathbb{Q}(\sqrt{-n}))} P_0^\sigma$  actually belongs to  $E^{(n)}(\mathbb{Q})$ . Let  $\mu(n)$  be the number of prime factors of  $n$ . Then  $P \in 2^{\mu(n)-1}E^{(n)}(\mathbb{Q}) + E^{(n)}(\mathbb{Q})_{\text{tor}}$  but  $P \notin 2^{\mu(n)}E^{(n)}(\mathbb{Q}) + E^{(n)}(\mathbb{Q})_{\text{tor}}$ . In particular,  $P$  is of infinite order.*

*Moreover, the Mordell-Weil group  $E^{(n)}(\mathbb{Q})$  is of rank one and the index of its subgroup generated by  $P$  and torsion points satisfies*

$$\left[ E^{(n)}(\mathbb{Q}) : \mathbb{Z}P + E^{(n)}(\mathbb{Q})_{\text{tor}} \right] = 2^{\mu(n)-1} \cdot \sqrt{|\text{III}(E/\mathbb{Q})|}.$$

**Example** For the prime  $p = 1493 \equiv 5 \pmod{8}$ , the Mordell-Weil group  $E^{(p)}(\mathbb{Q})$  modulo torsion has a generator

$$\left[ \frac{1674371133}{744769}, -\frac{51224214734700}{642735647} \right],$$

as well that Heegner point  $(x, y)$  has coordinates

$$x = \frac{2456153549914721493968975459422696932728951498371630131453}{2958501182854207571944468687561920064681205358510529},$$

$$y = \frac{121725780668263596873618123810557983972375660184180439465365335709906181098721585260100}{160919109605479862871753246473210772682219745687839109456974711787796868892833}.$$

It follows that  $\text{III}(E^{(p)}/\mathbb{Q}) \cong (\mathbb{Z}/3\mathbb{Z})^2$ .

Let  $E$  be an elliptic curve defined over  $\mathbb{Q}$ , with complex multiplication (= CM in what follows) by an imaginary quadratic field  $K$ . Let  $p \neq 2$  be a potential good ordinary prime for  $E$ . Note that  $p$  must split in  $K$ , and also  $p$  does not divide the number  $w_K$  of roots of unity in  $K$ .

Assume that  $L(s, E)$  has a simple zero at  $s = 1$ . Choose an auxiliary imaginary quadratic field  $\mathcal{K}$  such that (i)  $p$  is split over  $\mathcal{K}$  and (ii)  $L(s, E/\mathcal{K})$  still has a simple zero at  $s = 1$ . Let  $E^{(\mathcal{K})}$  be the twist of  $E$  over  $\mathcal{K}$ , then  $L(1, E^{(\mathcal{K})}) \neq 0$ . Let  $\eta$  be the quadratic character associated to the extension  $\mathcal{K}/\mathbb{Q}$  and  $\eta_K$  its restriction to  $K$ . Let  $\mathbb{Q}_\infty$  be the cyclotomic  $\mathbb{Z}_p$ -extension of  $\mathbb{Q}$ , and put  $\Gamma = \text{Gal}(\mathbb{Q}_\infty/\mathbb{Q})$ . For any finite order character  $\nu$  of  $\Gamma$ , let  $\nu_K$  denote its restriction to  $\text{Gal}(K\mathbb{Q}_\infty/K)$ . Consider the equality

$$L(s, E \otimes \nu) L(s, E^{(\mathcal{K})} \otimes \nu) = L(s, E_{\mathcal{K}} \otimes \nu_K)$$

and its specialization to  $s = 1$ . Let  $\mathcal{L}_\varphi, \mathcal{L}_{\varphi\eta_K}$  be the cyclotomic-line restrictions of the two Katz's two variable  $p$ -adic L-fuction corresponding to  $E$  and  $E^{(\mathcal{K})}$ , respectively. Let  $\mathcal{L}_{E/\mathcal{K}}$  be the cyclotomic-line restriction of the  $p$ -adic Rankin-Selberg L-function for  $E$  over  $\mathcal{K}$ . The ingredients needed to prove the  $p$ -part BSD formula of  $E$  are the following.

- (1) Rubin's two variable main conjecture[14] in order to relate the  $p$ -part of  $\text{III}(E/K)$  with  $\mathcal{L}'_\varphi(1)$ . Note that  $\text{ord}_p(|\text{III}(E/K)|) = 2\text{ord}_p(|\text{III}(E/\mathbb{Q})|)$  for odd  $p$ .
- (2) The complex Gross-Zagier formula [19] and the  $p$ -adic Gross-Zagier formula [4], which relate  $\mathcal{L}'_{E/\mathcal{K}}(1)$  and  $L'(1, E/\mathcal{K}) = L'(1, E/\mathbb{Q})L(1, E^{(\mathcal{K})}/\mathbb{Q})$ .
- (3) The precise relationship between  $\mathcal{L}'_\varphi(1)\mathcal{L}_{\varphi\eta_K}(1)$  and  $\mathcal{L}'_{E/\mathcal{K}}(1)$ , and also between  $\mathcal{L}_{\varphi\eta_K}(1)$  and  $L(1, E^{(\mathcal{K})})$ . This follows from the above equality of L-series and the interpolation properties of these  $p$ -adic L-fuctions.

Suppose that  $E$  has bad reduction at  $p$  which is potential good for  $E$ . Let  $\mathfrak{p}$  denote a prime of  $K$  above  $p$ . There is an elliptic curve  $E'$  over  $K$  with good reduction at  $\mathfrak{p}$ . In the process of proof, we need to compare periods, descends etc between  $E$  and  $E'$ .

**Notations.** Fix a non-trivial additive character  $\psi : \mathbb{Q}_p \rightarrow \mathbb{C}_p^\times$  with conductor  $\mathbb{Z}_p$ . For any character  $\chi : \mathbb{Q}_p^\times \rightarrow \mathbb{C}_p^\times$ , say of conductor  $p^n$  with  $n \geq 0$ , we define the root number by

$$\tau(\chi, \psi) = p^{-n} \int_{v_p(t)=-n} \chi^{-1}(t) \psi(t) dt,$$

where  $dt$  is the Haar measure on  $\mathbb{Q}_p$  such that  $\text{Vol}(\mathbb{Z}_p, dt) = 1$ . Fix embeddings  $\iota_\infty : \overline{\mathbb{Q}} \hookrightarrow \mathbb{C}$  and  $i_p : \overline{\mathbb{Q}} \hookrightarrow \mathbb{C}_p$  such that  $\iota_p = \iota \circ \iota_\infty$  for an isomorphism  $\iota : \mathbb{C} \xrightarrow{\sim} \mathbb{C}_p$ . For an elliptic curve  $E$  over a number field  $F$  and  $p$  a potential good prime for  $E$ , let  $(\cdot, \cdot)_\infty$  and  $(\cdot, \cdot)_p$  denote the normalized Néron-Tate height pairing, and the  $p$ -adic height pairing with respect to cyclotomic character. Let  $P_1, \dots, P_r \in E(F)$  form a basis for  $E(F) \otimes_{\mathbb{Z}} \mathbb{Q}$ , define the regulars by

$$R_\infty(E/F) = \frac{\det((P_i, P_j)_\infty)_{r \times r}}{[E(K) : \sum_i \mathbb{Z}P_i]^2}, \quad R_p(E/F) = \frac{\det((P_i, P_j)_p)_{r \times r}}{[E(K) : \sum_i \mathbb{Z}P_i]^2}.$$

For any character  $\chi$  of  $\widehat{K}^\times$ , let  $\mathfrak{f}_\chi \subset \mathcal{O}_K$  denote its conductor. For an elliptic curve  $E$  over  $K$ , let  $\mathfrak{f}_E$  denote its conductor. For any non-zero integral ideals  $\mathfrak{g}$  and  $\mathfrak{a}$  of  $K$ , let  $\mathfrak{g}^{(\mathfrak{a})}$  denote the prime-to- $\mathfrak{a}$  part of  $\mathfrak{g}$ . Let  $\mathbb{D}$  be the completion of the maximal unramified extension of  $\mathbb{Z}_p$  and  $\mathbb{D}_\chi$  the finite extension of  $\mathbb{D}$  generated by the values of  $\chi$ . Let  $L_\infty/K$  be an abelian extension whose Galois group  $\mathcal{G} = \text{Gal}(L_\infty/K) \cong \Delta \times \Gamma$  with  $\Delta$  finite and  $\Gamma \cong \mathbb{Z}_p^d$ . Then for any  $\mathbb{D}[[\mathcal{G}]]$ -module  $M$  and character  $\chi$  of  $\Delta$ , put  $M_\chi = M \otimes_{\mathbb{D}[[\mathcal{G}]], \chi} \mathbb{D}_\chi[[\Gamma]]$ . If  $p \nmid |\Delta|$ , let  $M^\chi$  denote its  $\chi$ -component (as a direct summand).

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## 2. KATZ'S $p$ -ADIC L-FUNCTION AND CYCLOTOMIC $p$ -ADIC FORMULA

Let  $E$  be an elliptic curve defined over  $K$  with CM by  $K$  and  $\varphi$  its associated Hecke character. Let  $p \nmid w_K$  be a prime split in  $K$  and  $p\mathcal{O}_K = \mathfrak{p}\mathfrak{p}^*$  with  $\mathfrak{p}$  induced by  $\iota_p$ . In particular,  $K_{\mathfrak{p}} = \mathbb{Q}_p$  in  $\mathbb{C}_p$  and let  $\psi_{\mathfrak{p}} = \psi_p$  on  $K_{\mathfrak{p}}$  under this identification. Let  $\Omega_E$  be a  $\mathfrak{p}$ -minimal period of  $E$  over  $K$ . Let  $\varphi$  be the associated Hecke character of  $E$  and  $\varphi_{\mathfrak{p}}$  its  $\mathfrak{p}$ -component. Let  $\mathfrak{f}_E$  be the conductor of  $\varphi$ .

Let  $F$  be an abelian extension over  $K$  with Galois group  $\Delta$ . Assume that  $p \nmid |\Delta|$  and denote by  $\mathfrak{f}_{F/K}$  the conductor of  $F$ . Let  $\mathcal{G}$  be the Galois group of the extension  $F(E[p^\infty])$  over  $K$ . Then  $\mathcal{G} \cong \mathcal{G}_{\text{tor}} \times \Gamma_K$  with  $\Gamma_K = \text{Gal}(F(E[p^\infty])/F(E[p]))$ . Let  $\Lambda = \mathbb{Z}_p[[\mathcal{G}]]$ . Let  $U_\infty$  and  $C_\infty$  denote the  $\mathbb{Z}_p[[\mathcal{G}]]$ -modules formed from the principal local units at the primes above  $\mathfrak{p}$ , and the closure of the elliptic units for  $K(E[p^\infty])$  (see §4 of [14] for the precise definitions.)

**Theorem 2.1** (Two variable  $p$ -adic L-function). *Let  $\mathfrak{g}$  be any prime-to- $p$  non-zero integral ideal of  $K$ . Assume that  $\mathfrak{f}_E^{(p)} \mid \mathfrak{g}$ . There exists a unique measure  $\mu_{\mathfrak{g}} = \mu_{\mathfrak{g}, \mathfrak{p}}$  on the group  $\mathcal{G} = \text{Gal}(K(\mathfrak{g}p^\infty)/K)$  such that for any character  $\rho$  of  $\mathcal{G}$  of type  $(1, 0)$ ,*

$$\rho(\mu_{\mathfrak{g}}) = \frac{\tau(\rho_{\mathfrak{p}}, \psi_{\mathfrak{p}})}{\tau(\varphi_{\mathfrak{p}}, \psi_{\mathfrak{p}})} \cdot \frac{1 - \rho(\mathfrak{p})p^{-1}}{1 - \bar{\rho}(\mathfrak{p})p^{-1}} \cdot \frac{L^{(\mathfrak{g}p)}(\bar{\rho}, 1)}{\Omega_E}.$$

Here  $L^{(\mathfrak{g}p)}(\bar{\rho}, s)$  is the imprimitive  $L$ -series of  $\bar{\rho}$  with Euler factors at places dividing  $\mathfrak{f}_p$ -removed.

*Proof.* It follows from the below lemma 2.3 and construction of Katz's two variable  $p$ -adic measure, see Theorem 4.14.  $\square$

**Theorem 2.2** (Yager). *For any character  $\chi$  of  $\mathcal{G}_{\text{tor}}$ , let  $\mathfrak{f} = \mathfrak{f}_\chi^{(p)}$  and  $\mu_{\mathfrak{f}}^\chi := \chi(\mu_{\mathfrak{f}}) \in \mathbb{D}[[\Gamma_K]]$ . Then we have*

$$\text{Char}(U_\infty/C_\infty)_\chi \cdot \mathbb{D}[[\Gamma_K]] = (\mu_{\mathfrak{f}}^\chi).$$

Here the measure  $\mu_{\mathfrak{f}}$  is defined as in Theorem 2.1.

**Lemma 2.3.** *Let  $E/K$  be an elliptic curve associated with to a Hecke character  $\varphi$ ,  $p$  splits in  $K$  and write  $p\mathcal{O}_K = \mathfrak{p}\mathfrak{p}^*$ . Let  $\varphi_0$  be a Hecke character over  $K$  unramified at  $\mathfrak{p}$ . Let  $\Omega_E$  and  $\Omega_0$  be  $\mathfrak{p}$ -minimal periods of  $E$  and  $\varphi_0$ , respectively. Then*

$$\text{ord}_p \left( \frac{\Omega_E \cdot \tau(\varphi_{\mathfrak{p}}, \psi_{\mathfrak{p}})}{\Omega_0} \right) = 0.$$

*Proof.* This follows from Stickelberger's theorem on prime ideal decomposition of Gauss sum. In fact, for  $\mathfrak{p} \nmid w = w_K$ ,  $E$  has  $\mathfrak{p}$ -minimal Weierstrass equation of form

$$E : y^2 = x^3 + a_2x^2 + a_4x + a_6, \quad a_2, a_4, a_6 \in K^\times \cap \mathcal{O}_{\mathfrak{p}}.$$

Note that for  $w = 4, 6$ , we may-and-do- take form  $y^2 = x^3 + a_4x$ ,  $y^2 = x^3 + a_6$ , respectively. Then there is an elliptic curve  $E'$  over  $K$  which has good reduction at  $\mathfrak{p}$ . Let  $\varphi'$  be its associated Hecke character. Then  $\epsilon = \varphi\varphi'^{-1} : \mathbb{A}_K^\times/K^\times \rightarrow \mathcal{O}_K^\times$  (also viewed as a Galois character via class field theory) is of form  $\chi(\sigma) = \sigma(d^{1/w})/d^{1/w}$  for an element  $d \in K^\times/K^{\times w}$ . Then the twist  $E'$  has  $\mathfrak{p}$ -good model

$$E' : \begin{cases} y^2 = x^3 + da_2x^2 + d^2a_4x + d^3a_6, & \text{if } w = 2, \\ y^2 = x^3 + da_4x, & \text{if } w = 4, \\ y^2 = x^3 + da_6, & \text{if } w = 6. \end{cases}$$

It is easy to check the  $\Omega_{E_0} = d^{1/w} \cdot \Omega_E$ . Let  $\omega : \mathcal{O}_{\mathfrak{p}}^\times \rightarrow \mu_w \subset K$  be the character characterized by  $\omega(a) \equiv a \pmod{\mathfrak{p}}$  and let  $\chi = \omega^{-(p-1)/w}$ . Then  $\epsilon_{\mathfrak{p}} = \chi^k$  for some  $k \in \mathbb{Z}/w\mathbb{Z}$ . Let  $\kappa_{\mathfrak{p}} \cong \mathbb{F}_p$  be the residue field of  $K_{\mathfrak{p}}$ . By Stickelberger's theorem, the Gauss sum  $g(\epsilon_{\mathfrak{p}}, \psi) := -\sum_{a \in \kappa_{\mathfrak{p}}^\times} \epsilon_{\mathfrak{p}}(a)\psi(a)$  has  $\mathfrak{p}$ -valuation  $\{k/w\}$ . It remains to show that  $k = \text{ord}_{\mathfrak{p}}(d)$ . Note that for any  $u \in \mathcal{O}_{\mathfrak{p}}^\times$ ,  $K_{\mathfrak{p}}(u^{1/w})$  is unramified over  $K_{\mathfrak{p}}$ . Thus it is equivalent to show that for any uniformizer  $\pi$  of  $K_{\mathfrak{p}}$ ,

$$\sigma_u(\pi^{1/w})/\pi^{1/w} \equiv u^{-(p-1)/w} \pmod{\mathfrak{p}}, \quad \forall u \in \mathcal{O}_{\mathfrak{p}}^\times.$$

But it is easy to see this by using local class field theory for formal group associated to  $x^p - \pi x$ .

For general Hecke character  $\varphi_0$  over  $K$  unramified at  $\mathfrak{p}$  (not necessarily  $K$ -valued) and  $\Omega_0$  its  $\mathfrak{p}$ -minimal period, it is easy to see that  $\text{ord}_{\mathfrak{p}}(\Omega_0/\Omega_{E_0}) = 0$ . □

Let  $\chi_{\text{cyc}, K} : \mathcal{G} \rightarrow \mathbb{Z}_p^\times$  be the  $p$ -adic cyclotomic character defined by the action on  $p$ -th power roots of unity. Define

$$\mathcal{L}_{\varphi_E}(s) := \mu_{f_E(\mathfrak{p})}^{1-s}(\varphi_E \chi_{\text{cyc}, K}), \quad \forall s \in \mathbb{Z}_p.$$

Rubin's two variable main conjecture implies the following theorem.

**Theorem 2.4.** *Let  $E$  be an elliptic curve defined over  $K$  with CM by  $K$  and  $\varphi$  its associated Hecke character. Let  $p \nmid w_K$  be a prime split in  $K$  and  $p\mathcal{O}_K = \mathfrak{p}\mathfrak{p}^*$ . Let  $r$  be the  $\mathcal{O}_K$ -rank of  $E(K)$ . Assume that  $\text{III}(E/K)(p)$  is finite and the  $p$ -adic height pairing of  $E$  over  $K$  is non-degenerate. Then*

- (1) *both  $\mathcal{L}_{\varphi}(s)$  and  $\mathcal{L}_{\overline{\varphi}}(s)$  have a zero at  $s = 1$  of exact order  $r$ .*
- (2) *the  $p$ -adic BSD conjecture holds for  $E/K$ :*

$$\text{ord}_p(|\text{III}(E/K)|) = \text{ord}_p \left( \frac{\mathcal{L}_{\varphi}^{(r)}(1)\mathcal{L}_{\overline{\varphi}}^{(r)}(1)}{R_p(E/K)} \cdot \prod_{v|p} \left( (1 - \varphi_E(v)) \left( 1 - \overline{\varphi_E(v)} \right) \right)^{-2} \right)$$

*provided the assumption that if  $w_K = 4$  or  $6$  then  $E$  has bad reduction at both  $\mathfrak{p}$  and  $\mathfrak{p}^*$  or good reduction at both  $\mathfrak{p}$  and  $\mathfrak{p}^*$ .*

Moreover, if  $E$  is defined over  $\mathbb{Q}$ , then we have

$$\text{ord}_p(|\text{III}(E/\mathbb{Q})|) = \text{ord}_p \left( \frac{\mathcal{L}_{\varphi}^{(r)}(1)}{R_p(E/\mathbb{Q})} \cdot \prod_{v|p} \left( (1 - \varphi_E(v)) \left( 1 - \overline{\varphi_E(v)} \right) \right)^{-1} \right).$$

*Proof.* Let  $\epsilon$  be a Galois character over  $K$  valued in  $\mathcal{O}_K^\times$  such that  $\varphi' = \varphi\epsilon$  is unramified at both  $\mathfrak{p}$  and  $\mathfrak{p}^*$ . Let  $E'$  be the elliptic curve over  $K$  as  $\epsilon$ -twist of  $E$  so that  $\varphi'$  is its Hecke character. Then  $E'$  has good reduction above  $p$ . Let  $F$  be the abelian extension over  $K$  cut by  $\epsilon$ , then  $[F : K] \mid w_K$ . Moreover,  $E$  and  $E'$  are isomorphism over  $F$ ,  $E'(F)^{(\epsilon)} \cong E(K)$ , and  $\text{III}(E'/F)[p^\infty]^{(\epsilon)} \cong \text{III}(E/K)[p^\infty]$ . Let  $F_0 = F(E[p])$  and  $\chi : \text{Gal}(F_0)/K \rightarrow \mathcal{O}_{\mathfrak{p}}^\times$  be the character giving the action on  $E[p]$ .

Let  $F_\infty = F(E[p^\infty])$ . Let  $M_{\infty, \mathfrak{p}}$  be the maximal  $p$ -extension over  $F_\infty$  unramified outside  $\mathfrak{p}$  and  $X_{\infty, \mathfrak{p}} = \text{Gal}(M_{\infty, \mathfrak{p}}/F_\infty)$ . Denote by  $U_\infty$  and  $C_\infty \subset U_\infty$  the  $\Lambda = \mathbb{Z}[[\text{Gal}(F_\infty/K)]]$ -modules of the principal local units at  $\mathfrak{p}$  and elliptic units for the extension  $F_\infty$  (defined as in [14], §4). Rubin's two variable main conjecture, together Yager [18], says that

$$\text{Char}_\Lambda(X_{\infty, \mathfrak{p}}^\times) \mathbb{D}[[\text{Gal}(F_\infty/F_0)]] = \left( \mu_{f_E(\mathfrak{p}), \mathfrak{p}}^\chi \right),$$

where for an integral ideal  $\mathfrak{g}$  of  $K$  prime to  $p$ , the measure  $\mu_{\mathfrak{g}}$  is given as in Theorem 2.1. Let  $\text{Sel}(F_{\infty}, E[\mathfrak{p}^{\infty}])$  be the  $\mathfrak{p}$ -Selmer group of  $E$  over  $F_{\infty}$  and  $\text{Sel}(F_{\infty}, E[\mathfrak{p}^{\infty}])^{\vee}$  its Pontryagin dual. Then  $\text{Sel}(F_{\infty}, E[\mathfrak{p}^{\infty}])^{\vee}$  is a finitely generated  $\Lambda$ -torsion module and

$$\text{Char}_{\Lambda}(\text{Sel}(F_{\infty}, E[\mathfrak{p}^{\infty}])^{\vee}) = \iota_{\mathfrak{p}} \text{Char}(X_{\infty, \mathfrak{p}}^{\chi}),$$

where  $\iota_{\mathfrak{p}} : \Lambda \rightarrow \Lambda, \gamma \mapsto \kappa_{\mathfrak{p}}(\gamma)\gamma$  for any  $\gamma \in \text{Gal}(F_{\infty}/K)$  and  $\kappa_{\mathfrak{p}}$  is the character of  $\text{Gal}(F_{\infty}/K)$  giving the action on  $E[\mathfrak{p}^{\infty}]$ . Similarly, we also have that

$$\text{Char}_{\Lambda}(X_{\infty, \mathfrak{p}^*}^{\chi}) \mathbb{D}[[\text{Gal}(F_{\infty}/F_0)]] = \left( \mu_{f_E^{(p)}, \mathfrak{p}^*}^{\chi} \right), \quad \text{Char}_{\Lambda}(\text{Sel}(F_{\infty}, E[\mathfrak{p}^{\infty}])^{\vee}) = \iota_{\mathfrak{p}^*} \text{Char}(X_{\infty, \mathfrak{p}^*}^{\chi}).$$

Let  $F_{\text{cyc}}$  be the cyclotomic  $\mathbb{Z}_p$  extension, and  $\Lambda_{\text{cyc}} = \mathbb{Z}_p[[\text{Gal}(F_{\text{cyc}}/K)]] \cong \Delta \times \Gamma$  where  $\Delta = \text{Gal}(F/K)$  and  $\Gamma = \text{Gal}(F_{\text{cyc}}/F)$ . Let  $\text{Sel}(F_{\text{cyc}}, E[p^{\infty}])$  denote the  $p$ -Selmer group of  $E$  over  $F_{\text{cyc}}$  and then its Pontryagin dual  $\text{Sel}(F_{\text{cyc}}, E[p^{\infty}])^{\vee}$  is a finitely generated torsion  $\Lambda_{\text{cyc}}$ -module. We have

$$\begin{aligned} \text{Sel}(F_{\text{cyc}}, E[p^{\infty}]) &= \text{Sel}(F_{\text{cyc}}, E[p^{\infty}]) \oplus \text{Sel}(F_{\text{cyc}}, E[p^{*\infty}]) \\ &= \text{Hom}(X_{\infty, \mathfrak{p}}, E[p^{\infty}])^{\text{Gal}(F_{\infty}/F_{\text{cyc}})} \oplus \text{Hom}(X_{\infty, \mathfrak{p}^*}, E[p^{*\infty}])^{\text{Gal}(F_{\infty}/F_{\text{cyc}})} \end{aligned}$$

Here the second equality is given [10] Proposition (1.3), Theorem (1.6) and Lemma (1.1), the last one is by the same reason as [14] Theorem 12.2. It follows that

$$\text{Char}_{\Lambda_{\text{cyc}}}(\text{Sel}(F_{\text{cyc}}, E[p^{\infty}])^{\vee}) \mathbb{D}[[\text{Gal}(F_{\text{cyc}}/F)]] = \left( \iota_{\mathfrak{p}} \mu_{f_E^{(p)}, \mathfrak{p}}^{\chi}, \iota_{\mathfrak{p}^*} \mu_{f_E^{(p)}, \mathfrak{p}^*}^{\chi} \right).$$

Denote by  $\chi_{\text{cyc}}$  the cyclotomic character. Let  $f_E$  be a generator of  $\text{Char}_{\mathbb{Z}_p[[\Gamma]]}(\text{Sel}(F_{\text{cyc}}, E[p^{\infty}])^{\vee})^{\Delta}$  and define

$$\mathcal{L}(s) = \chi_{\text{cyc}}^{1-s}(f_E), \quad \forall s \in \mathbb{Z}_p.$$

Then we have  $\mathcal{L}(s) = u(s) \mathcal{L}_{\varphi_E}(s) \mathcal{L}_{\overline{\varphi_E}}(s)$  for some function  $u(s)$  valued in  $\mathbb{D}^{\times}$ .

Note that  $E$  over  $F$  has good reduction above  $p$ . Employing the descent argument as in [15], noting that the “descent diagram” in [15] §7 for  $E$  over  $F$  is  $\Delta = \text{Gal}(F/K)$ -equivariant, and taking  $\Delta$ -invariant part, we have

**Proposition 2.5.** *Let  $r := \text{rank}_{\mathcal{O}_K} E(K)$ . Assume that  $\text{III}(E/K)[p^{\infty}]$  is finite and  $p$ -adic height pairing is non-degenerate on  $E(K)$ . Then  $\mathcal{L}(s)$  has exact vanishing order  $2r$  at  $s = 1$  and if let  $\mathcal{L}^*(1)$  denote its leading coefficient at  $s = 1$ ,*

$$\frac{\mathcal{L}^*(1)}{R_p(E/K)} \sim |\text{III}(E/K)| \cdot \left| \prod_{v|p} H^1(\text{Gal}(F(\mu_{p^{\infty}})/F), E(F(\mu_{p^{\infty}}) \otimes_K K_v))^{\Delta} \right|^2.$$

Here for any  $a, b \in \mathbb{C}_p^{\times}$ , write  $a \sim b$  if  $\text{ord}_p(a/b) = 0$ .

The follow lemma will complete the proof.

**Lemma 2.6.** *Let  $v_0 = \mathfrak{p}$  or  $\mathfrak{p}^*$ . Assume that if  $w_K = 4$  or  $6$  then  $E$  has bad reduction at both  $\mathfrak{p}$  and  $\mathfrak{p}^*$  or good reduction at both  $\mathfrak{p}$  and  $\mathfrak{p}^*$ . Then*

$$|H^1(\text{Gal}(F(\mu_{p^{\infty}})/F), E(F(\mu_{p^{\infty}}) \otimes_K K_{v_0}))^{\Delta}| \sim (1 - \varphi_E(v_0))(1 - \overline{\varphi_E(v_0)}).$$

The remain part of this section will devote to the proof of this lemma. Note that [15] handled the case where  $E$  has good reduction above  $p$ . We now assume that  $E$  has bad reduction either at  $\mathfrak{p}$  or at  $\mathfrak{p}^*$ . The isomorphism between  $E$  and  $E'$  over  $F$  gives rise to an isomorphism

$$H^1(\text{Gal}(F(\mu_{p^{\infty}})/F), E(F(\mu_{p^{\infty}}) \otimes_K K_{v_0}))^{\Delta} \xrightarrow{\sim} H^1(\text{Gal}(F(\mu_{p^{\infty}})/F), E'(F(\mu_{p^{\infty}}) \otimes_K K_{v_0}))^{\epsilon}.$$

We will need Proposition 2 in [15] that for any elliptic curve  $A$  over a local field  $k$  with good ordinary reduction and let  $\tilde{A}$  denote its reduction over the residue field  $\kappa$  of  $k$ , we have

$$|H^1(\text{Gal}(k(\mu_{p^{\infty}})/k), A(k(\mu_{p^{\infty}})))| = |\tilde{A}(\kappa)[p^{\infty}]|.$$

Let  $w|v_0$  be a place of  $F$  above  $v_0$  and  $\kappa_w/\kappa_{v_0}$  be the residue fields of  $F_w$  and  $K_{v_0}$  respectively, we have

$$|E'(\kappa_w)| \sim \left(1 - \varphi_{E'}(v_0)^{[\kappa_w : \kappa_{v_0}]}\right) \left(1 - \overline{\varphi_{E'}(v_0)}^{[\kappa_w : \kappa_{v_0}]}\right).$$

If  $w_K = 2$ , then  $F/K$  is a quadratic extension. If  $E$  is ramified at  $v_0$ , then  $F/K$  is ramified at  $v_0$  and let  $w$  be the unique place of  $F$  above  $v_0$ , we have  $\kappa_w = \kappa_{v_0}$  and thus

$$\begin{aligned} |H^1(\text{Gal}(F(\mu_{p^\infty})/F), E'(F(\mu_{p^\infty}) \otimes_K K_{v_0}))^\epsilon| &= \frac{|H^1(\text{Gal}(F_w(\mu_{p^\infty})/F_w), E'(F_w(\mu_{p^\infty})))|}{|H^1(\text{Gal}(K_{v_0}(\mu_{p^\infty})/K_{v_0}), E'(K_{v_0}(\mu_{p^\infty})))|} \\ &= \frac{|\widetilde{E}'(\kappa_w)|}{|\widetilde{E}'(\kappa_{v_0})|} = 1. \end{aligned}$$

If  $E$  has good reduction at  $v_0$ , then  $F/K$  is unramified at  $v_0$ . If  $v_0$  is split over  $F$ , then  $F \otimes_K K_{v_0} \cong K_{v_0}^2$  and  $\epsilon_{v_0} = 1$ . It is easy to see

$$|H^1(\text{Gal}(F(\mu_{p^\infty})/F), E'(F(\mu_{p^\infty}) \otimes_K K_{v_0}))^\epsilon| \sim (1 - \varphi_E(v_0))(1 - \overline{\varphi_E(v_0)}).$$

If  $v_0$  is inert in  $F$ , let  $w$  be the unique prime of  $F$  above  $v_0$ . Note that  $\varphi'_{v_0} = \varphi_{v_0} \epsilon_{v_0}$  and  $\epsilon(v_0) = -1$ .

$$\begin{aligned} |H^1(\text{Gal}(F(\mu_{p^\infty})/F), E'(F(\mu_{p^\infty}) \otimes_K K_{v_0}))^\epsilon| &= \frac{|H^1(\text{Gal}(F_w(\mu_{p^\infty})/F_w), E'(F_w(\mu_{p^\infty})))|}{|H^1(\text{Gal}(K_{v_0}(\mu_{p^\infty})/K_{v_0}), E'(K_{v_0}(\mu_{p^\infty})))|} = \frac{|\widetilde{E}'(\kappa_w)|}{|\widetilde{E}'(\kappa_{v_0})|} \\ &\sim \frac{(1 - (\varphi\epsilon)(v_0)^2)(1 - \overline{\varphi\epsilon(v_0)})^2}{(1 - (\varphi\epsilon)(v_0))(1 - \overline{\varphi\epsilon(v_0)})} = (1 - \varphi(v_0))(1 - \overline{\varphi(v_0)}). \end{aligned}$$

If  $w_K = 4$  or  $6$ , by our assumption,  $v_0$  must be ramified over  $F$  and  $\epsilon$  is non-trivial on its inertia subgroup. The proof is now similar to the previous ramified case.  $\square$

### 3. $\infty$ -ADIC AND $p$ -ADIC GROSS-ZAGIER FORMULAE

Let  $E$  be an elliptic curve over  $\mathbb{Q}$  of conductor  $N$  and  $\phi$  its associated newform. Let  $p$  be a prime where  $E$  is potential good ordinary or potential semi-stable. Let  $\alpha : \mathbb{Q}_p^\times \rightarrow \mathbb{Z}_p^\times$  be the character contained in the representation  $(V_p E)^{ss}$  of  $G_{\mathbb{Q}_p}$  such that  $\alpha|_{\mathbb{Z}_p^\times}$  is of finite order.

Let  $\mathcal{K}$  be an imaginary quadratic field such that  $\epsilon(E/\mathcal{K}) = -1$  and  $p$  splits in  $\mathcal{K}$ . Let  $\Gamma_{\mathcal{K}}$  be the Galois group of the  $\mathbb{Z}_p^2$ -extension over  $\mathcal{K}$ . Recall that [4] there exists a  $p$ -adic measure  $\mu_{E/\mathcal{K}}$  on  $\Gamma_{\mathcal{K}}$  such that for any finite order character  $\chi$  of  $\Gamma_{\mathcal{K}}$

$$\chi(\mu_{E/\mathcal{K}}) = \frac{L^{(p)}(1, \phi, \chi)}{8\pi^2(\phi, \phi)} \cdot \prod_{w|p} Z_w(\chi_w, \psi_w),$$

where  $(\phi, \phi)$  is the Peterson norm of  $\phi$ :

$$(\phi, \phi) = \iint_{\Gamma_0(N) \backslash \mathcal{H}} |\phi(z)|^2 dx dy, \quad z = x + iy,$$

and for each prime  $w|p$  of  $\mathcal{K}$ , let  $\alpha_w = \alpha \circ N_{\mathcal{K}_w/\mathbb{Q}_p}$  and  $\psi_w = \psi_p \circ \text{Tr}_{\mathcal{K}_w/\mathbb{Q}_p}$ , and let  $\varphi_w$  be a uniformizer of  $\mathcal{K}_w$ , then

$$Z_w(\chi_w, \psi_w) = \begin{cases} (1 - \alpha_w \chi_w(\varphi_w)^{-1})(1 - \alpha_w \chi_w(\varphi_w) p^{-1})^{-1}, & \text{if } \alpha_w \chi_w \text{ is unramified,} \\ p^n \tau((\alpha_w \chi_w)^{-1}, \psi_w), & \text{if } \alpha_w \chi_w \text{ is of conductor } n \geq 1. \end{cases}$$

The following lemma will be used to prove our main theorem.

**Lemma 3.1.** *Let  $E$  be an elliptic curve over  $\mathbb{Q}$  with CM by an imaginary quadratic field  $K$ . Assume  $p$  is also split in  $K$  write  $p\mathcal{O}_K = \mathfrak{p}\mathfrak{p}^*$  with  $\mathfrak{p}$  induced by  $\iota_p$ , i.e. identify  $K_{\mathfrak{p}}$  with  $\mathbb{Q}_p$  and the non-trivial element  $\tau \in \text{Gal}(K/\mathbb{Q})$  induces an isomorphism on  $\mathbb{A}_K$  and thus  $\tau : K_{\mathfrak{p}^*} \xrightarrow{\sim} K_{\mathfrak{p}} = \mathbb{Q}_p$ . Let  $\varphi$  be its associated Hecke character. Then we have  $\alpha = \varphi_{\mathfrak{p}^*} \circ \tau^{-1}$  and  $(\alpha^{-1} \chi_{\text{cyc}})(x) = \varphi_{\mathfrak{p}}(x) x^{-1}$  for any  $x \in \mathbb{Q}_p^\times$ . Moreover, for any place  $w|p$  of  $\mathcal{K}$ , any finite order character  $\nu : \widehat{\mathbb{Q}}^\times / \mathbb{Q}^\times \widehat{\mathbb{Z}}^{\times(p)} \mathbb{Z}_{p, \text{tor}}^\times \rightarrow \mu_{p^\infty}$  viewed as character on  $\Gamma_K$  by compose with norm*

$$Z_w(\alpha_w \nu_w, \psi) = \tau(\varphi_{\mathfrak{p}} \nu_p^{-1}, \psi) \cdot \frac{1 - (\varphi_{\mathfrak{p}} \nu_p^{-1})(p) p^{-1}}{1 - \overline{(\varphi_{\mathfrak{p}} \nu_p^{-1})(p)} p^{-1}}.$$

*Proof.* The claim follows from the relations  $\varphi \overline{\varphi} = |_{\mathbb{A}_K^{(\infty)}}^{-1}$  and  $\varphi^\tau = \overline{\varphi}$ .  $\square$



Let  $\chi_{\text{cyc}, \mathcal{K}} : \Gamma_{\mathcal{K}} \rightarrow \mathbb{Z}_p^\times$  denote the  $p$ -adic cyclotomic character of  $G_{\mathcal{K}}$ . Let  $\chi$  be an anticyclotomic character. Define  $\mathcal{L}_{E/\mathcal{K}, \chi}$  to be the  $p$ -adic L-function

$$\mathcal{L}_{E/\mathcal{K}, \chi}(s) = \mu_{E/\mathcal{K}}(\chi \chi_{\text{cyc}, \mathcal{K}}^{s-1}), \quad s \in \mathbb{Z}_p.$$

For trivial  $\chi$ , we write  $\mathcal{L}_{E/\mathcal{K}}$  for  $\mathcal{L}_{E/\mathcal{K}, \chi}$ .

**Theorem 3.2** (See [19] and [4]). *Let  $E$  be an elliptic curve over  $\mathbb{Q}$  and  $\mathcal{K}$  an imaginary quadratic field. Let  $p$  be a potentially good ordinary prime for  $E$  and split over  $\mathcal{K}$ . Assume that  $\epsilon(E/\mathcal{K}) = -1$ . Then*

$$\frac{\mathcal{L}'_{E/\mathcal{K}, \chi}(1)}{R_p(E/\mathcal{K}, \chi)} \cdot \frac{L_p(E/\mathcal{K}, \chi, 1)}{\prod_{w|p} Z_w(\chi_w, \psi_w)} = \frac{L'(E/\mathcal{K}, \chi, 1)}{R_\infty(E/\mathcal{K}, \chi) \cdot 8\pi^2(\phi, \phi)}.$$

Here  $L_p(E/\mathcal{K}, \chi, 1)$  is the Euler factor at  $p$ . In particular,  $\mathcal{L}'_{E/\mathcal{K}}(1) = 0$  if and only if  $L'(E/\mathcal{K}, 1) = 0$ .

*Proof.* Let  $B$  be an indefinite quaternion algebra over  $\mathbb{Q}$  ramified exactly at the places  $v$  of  $\mathbb{Q}$  where  $\epsilon_v(E/\mathcal{K}, \chi)\eta_v(-1) = -1$ . It is known that there exists a Shimura curve  $X$  over  $\mathbb{Q}$  (with suitable level) and a non-constant morphism  $f : X \rightarrow E$  over  $\mathbb{Q}$  mapping a divisor in Hodge class to the identity of  $E$  such that its corresponding Heegner cycle  $P_\chi(f)$  is non-trivial if and only if  $L'(1, \phi, \chi) \neq 0$  by Theorem 1.2 in [19], and if and only if  $\mathcal{L}'_{E/\mathcal{K}, \chi}(1) \neq 0$  by Theorem B in [4]. Thus  $L'(E/\mathcal{K}, \chi, 1) = 0$  if and only if  $\mathcal{L}'_{E/\mathcal{K}, \chi}(1) = 0$ .

Now assume that  $L'(E/\mathcal{K}, 1) \neq 0$ . By an argument of Kolyvagin, we know that  $(E(K_\chi) \otimes \mathcal{O}_\chi)^\chi$  is of  $\mathcal{O}_\chi$ -rank one,

$$\frac{\widehat{h}_\infty(P_\chi(f))}{R_\infty(E/\mathcal{K}, \chi)} = \frac{\widehat{h}_p(P_\chi(f))}{R_p(E/\mathcal{K}, \chi)} \in \overline{\mathbb{Q}}^\times.$$

By [19] theorem 1.2,

$$\frac{L'(E/\mathcal{K}, \chi, 1)}{R_\infty(E/\mathcal{K}, \chi) \cdot 8\pi^2(\phi, \phi)} = \frac{\widehat{h}_{NT}(P_\chi(f))}{R_\infty(E/\mathcal{K}, \chi)} \frac{4L(1, \eta)}{\pi c_{\mathcal{K}}} \frac{L(1, \pi, \text{ad})}{8\pi^3(\phi, \phi)} \alpha^{-1}(f, \chi)$$

and by [4] theorem B (with our definition of  $\mathcal{L}_{E/\mathcal{K}, \chi}$ ),

$$\frac{\mathcal{L}'_{E/\mathcal{K}, \chi}(1)}{R_p(E/\mathcal{K}, \chi)} = \frac{h_p(P_\chi(f))}{R_p(E/\mathcal{K}, \chi)} \frac{4L(1, \eta)}{\pi c_{\mathcal{K}}} \frac{\prod_{w|p} Z_w(\chi_w, \psi_w)}{L_p(E/\mathcal{K}, \chi, 1)} \frac{L(1, \pi, \text{ad})}{8\pi^3(\phi, \phi)} \alpha^{-1}(f, \chi),$$

where the  $\alpha(f, \chi) \in \overline{\mathbb{Q}}^\times$ . The theorem follows.  $\square$

Now we give an explicit form of  $p$ -adic Gross-Zagier formula as an application. Let  $c$  be the conductor of  $\chi$ . Assume the following Heegner hypothesis holds:

- (1)  $(c, N) = 1$ , and no prime divisor  $q$  of  $N$  is inert in  $\mathcal{K}$ , and also  $q$  must be split in  $\mathcal{K}$  if  $q^2 | N$ .
- (2)  $\chi([\mathfrak{q}]) \neq a_q$  for any prime  $q | (N, D)$ , where  $\mathfrak{q}$  is the unique prime ideal of  $\mathcal{O}_{\mathcal{K}}$  above  $q$  and  $[\mathfrak{q}]$  is its class in  $\text{Pic}(\mathcal{O}_c)$ .

Let  $X_0(N)$  be the modular curve over  $\mathbb{Q}$ , whose  $\mathbb{C}$ -points parametrize isogenies  $E_1 \rightarrow E_2$  between elliptic curves over  $\mathbb{C}$  whose kernel is cyclic of order  $N$ . By the Heegner condition, there exists a proper ideal  $\mathcal{N}$  of  $\mathcal{O}_c$  such that  $\mathcal{O}_c/\mathcal{N} \cong \mathbb{Z}/N\mathbb{Z}$ . For any proper ideal  $\mathfrak{a}$  of  $\mathcal{O}_c$ , let  $P_{\mathfrak{a}} \in X_0(N)$  be the point representing the isogeny  $\mathbb{C}/\mathfrak{a} \rightarrow \mathbb{C}/\mathfrak{a}\mathcal{N}^{-1}$ , which is defined over the ring class field  $H_c$  over  $\mathcal{K}$  of conductor  $c$ , and only depends on the class of  $\mathfrak{a}$  in  $\text{Pic}(\mathcal{O}_c)$ . Let  $J_0(N)$  be the Jacobian of  $X_0(N)$ . Let  $f : X_0(N) \rightarrow E$  be a modular parametrization mapping the cusp  $\infty$  at infinity to the identity  $O \in E$ . Denote by  $\deg f$  the degree of the morphism  $f$ . Define the Heegner divisor to be

$$P_\chi(f) := \sum_{[\mathfrak{a}] \in \text{Pic}(\mathcal{O}_c)} f(P_{\mathfrak{a}}) \otimes \chi([\mathfrak{a}]) \in E(H_c)_{\overline{\mathbb{Q}}}.$$

**Theorem 3.3.** *Let  $E, \chi$  be as above satisfying the Heegner conditions (1) and (2). Then*

$$L'(1, E, \chi) = 2^{-\mu(N, D)} \cdot \frac{8\pi^2(\phi, \phi)_{\Gamma_0(N)}}{u^2 \sqrt{|Dc^2|}} \cdot \frac{\widehat{h}_\infty(P_\chi(f))}{\deg f},$$

where  $\mu(N, D)$  is the number of prime factors of the greatest common divisor of  $N$  and  $D$ ,  $u = [\mathcal{O}_c^\times : \mathbb{Z}^\times]$  is half of the number of roots of unity in  $\mathcal{O}_c$ , and  $\widehat{h}_\infty$  is the Néron-Tate height on  $E$  over  $\mathcal{K}$ .

Moreover, let  $p$  be a prime split in  $\mathcal{K}$  and assume that  $E$  is potential ordinary at  $p$  (i.e. either potential good ordinary or potential semistable), then we have

$$\mathcal{L}'_{E/\mathcal{K},\chi}(1) = \frac{\prod_{w|p} Z_w(\chi_w, \psi_w)}{L_p(E/\mathcal{K}, \chi, 1)} \cdot \frac{2^{-\mu(N,D)}}{u^2 \sqrt{|Dc^2|}} \cdot \frac{\widehat{h}_p(P_\chi(f))}{\deg f},$$

where  $\widehat{h}_p$  is the  $p$ -adic height on  $E$  over  $\mathcal{K}$ .

*Proof.* The explicit form of Gross-Zagier formula is proved in [2]. The explicit form of  $p$ -adic Gross-Zagier formula then follows from the relation in Theorem 4.1.  $\square$

#### 4. PROOF OF MAIN THEOREM 1.1

In this section, let  $E$  be an elliptic curve over  $\mathbb{Q}$  with CM by  $K$  and  $\Omega_E$  the minimal real period of  $E$  over  $\mathbb{Q}$ . Let  $p \nmid w_K$  be a prime split both in  $K$ .

**Lemma 4.1.** *Let  $\mathcal{K}$  be an imaginary quadratic field where  $p$  splits,  $\eta$  the associated quadratic character, and  $\eta_K$  its base change to  $K$ . Assume that  $\epsilon(E/K) = -1$ . Then there exists a  $p$ -adic unit  $u$  such that*

$$\mathcal{L}_{E/\mathcal{K}} = \frac{\tau(\varphi_{\mathfrak{p}}, \psi_{\mathfrak{p}})^2 \cdot \Omega_E^2}{8\pi^2(\phi, \phi)} \cdot \mathcal{L}_{\varphi} \mathcal{L}_{\eta_K}.$$

*Proof.* It's enough to show that for any finite order character  $\nu : \widehat{\mathbb{Q}}/\mathbb{Q}^\times \widehat{\mathbb{Z}}^{\times(p)} \mathbb{Z}_{p,\text{tor}}^\times \rightarrow \mathbb{C}^\times$ , we have

$$\nu_K(\mu_{E/K}) = \tau^2(\varphi_p, \psi_p) \frac{\Omega_E^2}{8\pi^2(\phi, \phi)} \mu_{f_0}(\varphi \nu_K^{-1}) \mu_{f_0}(\varphi \eta_K \nu_K^{-1}).$$

Here  $\nu_K = \nu \circ N_{\mathcal{K}/\mathbb{Q}}$  and  $\nu_K = \nu \circ N_{K/\mathbb{Q}}$ . By interpolation property, the left hand of the formula in the lemma is

$$\frac{L^{(p)}(1, \phi, \nu_K^{-1})}{8\pi^2(\phi, \phi)} \prod_{w|p} Z_w(\alpha_w \nu_w, \psi_w),$$

Note that  $\mathcal{K}/\mathbb{Q}$  splits at  $p$  and then  $\eta_p$  is trivial, the right hand side of the formula in the lemma is

$$\frac{\tau(\varphi_{\mathfrak{p}} \nu_{\mathfrak{p}}^{-1}, \psi_{\mathfrak{p}})^2}{\tau(\varphi_{\mathfrak{p}}, \psi)^2} \cdot \left( \frac{1 - \varphi \nu^{-1}(\mathfrak{p}) p^{-1}}{1 - \varphi \nu^{-1}(\mathfrak{p}) p^{-1}} \right)^2 \cdot \frac{L^{(p f_0)}(\overline{\varphi \nu^{-1}}, 1)}{\Omega} \cdot \frac{L^{(p f_0)}(\overline{\varphi \nu^{-1} \eta_K}, 1)}{\Omega}$$

Then the formula follows from lemma 3.1.  $\square$

We are ready to prove Theorem 1.1. Assume that  $L(s, E/\mathbb{Q})$  has a simple zero at  $s = 1$  and that  $p$  is a bad but potentially good ordinary prime for  $E$ . Let  $\varphi$  be the Hecke character associated to  $E$  and  $f_0$  its the prime-to- $p$  conductor. We may choose an imaginary quadratic field  $\mathcal{K}$  such that

- $L(s, E/\mathcal{K})$  also has a simple zero at  $s = 1$ .
- $p$  splits in  $\mathcal{K}$ .
- the discriminant of  $\mathcal{K}$  is prime to  $f_0$ .

Note that related Euler factors are trivial in this case, we then have

- $\mathcal{L}_{\varphi \eta_K}(1) = \frac{L(1, E^{(\mathcal{K})})}{\Omega_{E/K}},$
- $\frac{\mathcal{L}'_{E/\mathcal{K}}(1)}{R_p(E/\mathcal{K}) \tau(\varphi_{\mathfrak{p}}, \psi_{\mathfrak{p}})^2} = \frac{L'(E/\mathcal{K}, 1)}{R_{\infty}(E/\mathcal{K}) 8\pi^2(\phi, \phi)}.$
- $\text{ord}_p(|\text{III}(E/\mathbb{Q})|) = \text{ord}_p \left( \frac{\mathcal{L}'_{\varphi}(1)}{R_p(E/\mathbb{Q})} \right),$
- $\text{ord}_p \left( \frac{\mathcal{L}'_{E/K}(1)}{\mathcal{L}'_{\varphi}(1) \mathcal{L}_{\varphi \eta_K}(1)} \right) = \text{ord}_p \left( \frac{\tau(\varphi_{\mathfrak{p}}, \psi_{\mathfrak{p}})^2 \Omega_{E/K}^2}{8\pi^2(\phi, \phi)} \right),$
- $\text{ord}_p \left( \frac{\Omega_{E/K}}{\Omega_E} \right) = \text{ord}_p \left( \frac{R_p(E/\mathcal{K})}{R_p(E/\mathbb{Q})} \right) = 0.$

It follows that

$$\text{ord}_p(|\text{III}(E/\mathbb{Q})|) = \text{ord}_p \left( \frac{L'(E/\mathbb{Q}, 1)}{\Omega_E \cdot R_{\infty}(E/\mathbb{Q})} \right).$$

This proves Theorem 1.1 (i). Assume that  $E(\mathbb{Q})$  has rank one and  $\text{III}(E/\mathbb{Q})(p)$  is finite, or equivalently,  $E(K)$  has  $\mathcal{O}_K$ -rank one and  $\text{III}(E/K)$  is finite. By [1], the cyclotomic  $p$ -adic height pairing is non-degenerate. Thus both  $\mathcal{L}_{\varphi_E}$  and  $\mathcal{L}_{\overline{\varphi_E}}$  have exactly order 1 at  $s = 1$ , therefore  $\mathcal{L}_{E/\mathcal{K}}$  has exactly order



one at  $s = 1$ . It follows from  $p$ -adic Gross-Zagier formula that the related Heegner point is non-trivial and therefore  $L(E, s)$  has a simple zero at  $s = 1$ . This completes the proof of Theorem 1.1.

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